

# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:  
Commissioner for Patents  
Box RCE  
Washington, DC 20231

Application Number	09/911,218
Filing Date	July 23, 2001
First Named Inventor	Qiu
Art Unit	1762
Examiner Name	Jennifer Kolb Michener
Attorney Docket Number	CL/V-31563P1

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☒ Information Disclosure Statement (IDS)
- iv. ☒ Other Petition for Extension of Time

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2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 19-0134
- i. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☒ Other fee pursuant to 37 CFR 1.17(p) for the submission of IDS under 37 CFR 1.17(c)
- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	Jianfeng Zhou	Registration No. (Attorney/Agent)	41,422
Signature	<i>Jianfeng Zhou</i>	Date	April 10, 2003

**CERTIFICATE OF MAILING OR TRANSMISSION**

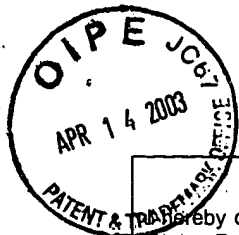
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Jennifer China	Date	April 10, 2003
Signature	<i>Jennifer China</i>		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.

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I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

JENNIFER China  
Type or print name

Jennifer China  
Signature

April 10, 2003  
Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF

EXAMINER:

QIU ET AL.

Jennifer Kolb Michener

APPLICATION NO: 09/911,218

Art Unit: 1762

FILED: July 23, 2001

FOR: **PROCESS FOR SURFACE MODIFYING SUBSTRATES AND MODIFYING  
SUBSTRATE RESULTING THEREFROM**Assistant Commissioner for Patents  
Washington, DC 20231*#9/C*  
*Fredy*  
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*entered-in-*  
*part***AMENDMENT AND REQUEST FOR CONTINUED EXAMINATION****Dear Sir:**

The Office Action dated October 21, 2002 from Examiner Jennifer Kolb Michener of Art Unit 1762 has been received and reviewed. In response to the final Office Action, Applicants submit a Request for Continued Examination under 37 CFR 1.114 of this application and respectfully request that the Examiner reconsider the rejections in view of the amended claims and following remarks, as set forth below:

**AMENDMENT B****In the Specification:**

A). Please cancel the amendments of the paragraph beginning at page 30, line 4 and ending at page 31, made in the Amendment A filed August 2, 2002.